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MAR 15 2002
PATENT & TRADEMARK OFFICE

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/928,130	08/10/2001	Dan Sullivan	12917US03

CONFIRMATION NO. 6490

FORMALITIES LETTER



OC000000006570066

McAndrews, Held & Malloy, Ltd.
34th Floor
500 West Madison Street
Chicago, IL 60661

Date Mailed: 09/18/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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MAR 25 2002

OFFICE OF PETITIONS

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225.00 65.00

*A copy of this notice **MUST** be returned with the reply.*

03/22/2002 SU LIANG1
03 FC:202
04 FC:203
05 FC:205

AV.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03-18-03

2002



23446

PATENT TRADEMARK OFFICE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
Docket No. 12917US03

In the Application of:

Daniel J. Sullivan,
Mark D. Crockett,
John Epler; and
Robert A. Hilgart

U.S. Serial No.: 09/928,130

Filed: August 10, 2001

For: COMPUTERIZED RISK
MANAGEMENT MODULE FOR
MEDICAL DIAGNOSIS

Examiner: Unassigned

Group Art Unit: Unassigned

Express Mail No. EL929181343US

Dated: March 15, 2002

COMPLETION OF FILING REQUIREMENTS

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

- * This replies to the Office letter dated September 18, 2001. A copy of the Notice to File Missing Parts of Application-Filing Date Granted (Form PTO-1533) is enclosed.

Enclosed is a:

- * petition and fee for extension of time (**4 MONTHS AND \$720.00**),
- * nine sheets of formal drawings in compliance with 37 C.F.R. 1.84,
- * PETITION UNDER 37 CFR § 1.47(a); and
- * DECLARATION OF GEORGE WHEELER

DECLARATION OR OATH

- * No original declaration or oath was filed and enclosed is an original declaration or oath for this application.

Attached is a:

- * Statement by a registered attorney that the application filed in the PTO is the application which the inventor executed by signing the declaration.

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OFFICE OF PETITIONS

Ref ID: 03122/2002 SLUAND1
Reg. No.: 03122/2002 Name/Number: 09/928,130
Date: 2002-03-25 Filing Date: 2002-08-10
Fee: \$370.00 CR
FC: 704

FEES

Filing fee:

* Original patent application (37 CFR 1.16(a)-\$740.00; small entity-\$370.00)	\$370.00
* design application (37 CFR 1.16(f)-\$330.00; small entity-\$165.00)	\$.00

Fees for claims:

* each independent claim in excess of 3 (37 CFR 1.16(b)-\$84.00; small entity-\$42.00)	\$84.00
* each claim in excess of 20 (37 CFR 1.16(c)-\$18.00; small entity-\$9.00)	\$225.00
* multiple dependent claim(s) (37 CFR 1.16(d)-\$280.00; small entity-\$140.00)	\$.00

Surcharge fees:

* late payment of filing fee and/or	
* late filing of original declaration or oath (37 CFR 1.16(e)-\$130.00; small entity-\$65.00)	\$65.00
* petition fee for filing by other than all the inventors or a person not the inventor (37 CFR 1.17(h) and 1.47-\$130.00)	\$130.00
* fee for processing an application filed with a specification in a non-English language (37 CFR 1.17(k) and 1.52(d)-\$130.00)	\$.00
* fee for processing and retention of application (37 CFR 1.21(l) and 1.53(d)-\$130.00)	\$.00
* Petition for Extension of Time - 4 months	\$720.00

TOTAL FEES

\$1,594.00

SMALL ENTITY STATUS

The fees have been calculated as shown above, based on the assignee's status as a small entity.

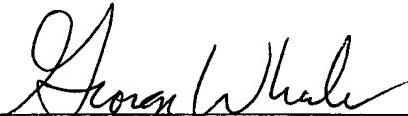
PAYMENT OF FEES

- * enclosed is a check in the amount of \$1,594.00.

AUTHORIZATION TO CHARGE ADDITIONAL FEES

- * The Commissioner is hereby authorized to charge the following additional fees which may be required by this paper and during the pendency of this application to Account No. 13-0017.
- * 37 CFR 1.16(a), (f) or (g) (filing fees).
- * 37 CFR 1.16 (b), (c) and (d) (presentation of extra claims).
- * 37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application).
- * 37 CFR 1.17 (application processing fees).

Respectfully submitted,

By: 
George Wheeler
Reg. No. 28,766
Attorney for applicant(s)

March 15, 2002

DATE

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